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A secure access control system has a "smart" key assembly 25 with storage means 28 for storing identification data imaqe data 30. An interface 31 · provides communication between the key assembly 25 and an access control assembly 33 having a data processing assembly 44, a user interface assembly 45, a receiving slot 38 for the key assembly 25 and an identity verifier 39. processing assembly is controlled by a central processor 34 and has data storage means 35. The user interface assembly has a keypad 36 and an LCD 37. The identity verifier compares sensed identification a identification embedded in the key.

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PETTY PATENT SPECIFICATION FOR AN INVENTION ENTITLED:

SECURE ACCESS CONTROL SYSTEM

The following statement is a full description of this invention, including the best method of performing it known to me/us:

#### "SECURE ACCESS CONTROL SYSTEM"

#### BACKGROUND OF INVENTION

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This invention relates to a secure access control system.

The invention has particular but not exclusive application to a secure access control system for use in gaming establishments such as casinos and ' for illustrative purposes reference will be made herein to such an application. However it is to be understood that this invention can be used in many other applications, such as for example restricted area security, automatic teller machines, medical records, information retrieval etc, where control of access to only authorised users is important.

# DESCRIPTION OF THE PRIOR ART

Secure access control systems are well known. It is known to provide mechanical and electronic locks or access barriers which are "releasable" when a personal identification number (PIN) is entered on a keypad by a user or when operated by use of an encoded card or key.

It is known to use such cards in conjunction with a PIN to provide access only to authorised users who can enter the correct PIN. These known secure access systems provide access to remote sites and are controlled by a centralised computer system. However if the centralised computer is inoperable at any time, the remote site facility is also inoperable.

It is also known to provide identification cards or security access devices which include memory and circuitry enabling the memory to be read from, written to or otherwise modified. Such cards can include electrically erasable programmable read only memory (EEPROM) and are known in the art as "smartcards". Such devices are disclosed in US patents 4675516, 4725924, 4727246, 4733061 and 4764666.

The use of coin actuated gaming and amusement

machines is well known. The administrative, labour and logistical problems associated with the management of the very large coin or token holdings in casinos and fun parlours has led to a requirement for gaming machines which are "cashless" in the sense that they are operated by a card or other device whereby the gaming machine is coin-freed. Australian patents 511904 and 613484 and Australian patent application 72657/91 illustrate such "cashless" equipment. The latter two disclose the use of smart cards such as those described above.

The management and audit functions in casinos and fun or amusement parlours is complex because of the large turnover, the large number of machines and the vast quantity of statistical information available These functions may be performed manually by analysis. collating data manually extracted from each individual However it is now not uncommon for this machine. function to be carried out electronically either by a centralised computer facility connected to individual machines by a landline as exemplified in Australian patent 542455 and Australian patent application 72657/91, or by on-site down-loading from individual machines to a transportable data collector as exemplified in Australian patents 553309 and 613484. However failure of the central computer in systems using landlines can render individual machines inoperative. Furthermore in systems on-site down-loading, security at individual machines can be compromised by the lack of a centralised control as this cannot be provided in the absence of online real time data.

# SUMMARY OF THE INVENTION

The present invention aims to provide a useful alternative to known secure access control systems which will be reliable and efficient in use.

This invention in one aspect resides broadly in a secure access control system including:-

at least one key assembly having a data processing



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assembly including data storage means, said data storage means receiving and storing identification image data representative of an image of an identifier for a user;

at least one access control assembly adapted to receive a key assembly, said access control assembly having a data processing assembly including processor means and data storage means, and

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interface means whereby an access control assembly can communicate with a received key assembly.

As used herein the expression "key assembly" includes devices for permitting access by a user to a secure access system upon correct use of the device by the user. Cards and keys are examples of key assemblies. The secure access control system may include a central computer assembly, and communication means for transferring data from the access control assemblies to the central computer assembly.

An access control assembly may verify a user's identification by comparing a PIN entered by the user with a PIN stored in the key assembly data storage means. However it is preferred that each access control assembly has identity verification means for verifying identification image data stored in the key assembly data storage means. Thus the identity verification means may include sensing means for sensing personal physical characteristics of a user.

The sensing means may sense a range of personal physical characteristics constituting an identifier for a user. Thus the sensing means may sense skin print images or finger prints as described in Australian patent applications 87582/91 and 67230/90, or finger profiles as described in Australian patent application 55243/90. Alternatively the sensing means may sense voice tones. However it is preferred that the sensing means includes camera means for sensing facial characteristics of a user. The facial characteristics may be interocular separation or retina identification.

The secure access control system may allow for

visual verification of the identity of a user to be made and in such an arrangement the access control assembly includes image display means for displaying the image.

In a preferred embodiment the access control assemblies include a user interface assembly operable by a user to input data to the access control assembly.

The user interface assembly can include any suitable means whereby the user is able to communicate with the access control assembly and it is preferred that the user interface assembly includes input means and information display means.

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The input means could be a joy-stick or a mouse or a screen-based electronic pencil. Alternatively the input means can be a microphone for recording the voice instructions of a user. However it is preferred that the input means includes a keypad.

In a preferred embodiment the access control assembly includes receiving means for closely receiving and releasably retaining a key assembly whereby communication is established between the access control assembly and the key assembly by the interface means.

In a further aspect this invention resides broadly in a method of securing access, the method including:-

providing a key assembly having a data processing assembly including data storage means for receiving and storing identification image data representative of an image of an identifier for a user having authorised access;

inserting the key assembly in an access control assembly adapted to receive the key assembly and having a data processing assembly including processor means and data storage means whereby communication is established between the access control assembly and the key assembly, and

verifying identification image data stored in the key assembly data storage means.

In another aspect this invention resides broadly in an operating system for a plurality of gaming machines,

the operating system including a secure access control system as defined in any one of the preceding statements wherein each gaming machine includes an access control assembly as described above.

In another aspect this invention resides broadly in an operating system for a plurality of gaming machines, the operating system including a secure access control system including:-

at least one key assembly having a data processing assembly including processor means and data storage means for receiving identification data relevant to a user of the system;

an access control assembly for each gaming machine and adapted to receive a key assembly, the access control assembly having a data processing assembly including processor means and data storage means;

interface means for each gaming machine whereby an access control assembly can communicate with a received key assembly;

20 a central computer assembly, and

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communication means for transferring data from said access control assemblies to said central computer assembly.

In a preferred embodiment the gaming machine is inoperable when the key assembly is received by the access control assembly.

It is preferred that the access control assembly includes a user interface assembly as described above.

As used herein the expression "gaming machine" includes any device, assembly or apparatus operable by a user for the purpose of entertainment and gambling. Examples of gaming machines include poker machines, slot machines, pinball machines, roulette tables, blackjack machines, machines for playing bingo, lotto, jeuting and other similar lottery-type games, and TV sets and video screens programmed to facilitate gambling and the playing of amusement games.

In a further aspect this invention resides broadly

in a gaming machine system including:-

- a plurality of gaming machines;
- a central computer assembly;

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communication means for transferring data from said gaming machines to said central computer assembly, and

a secure access control system including:-

for each user of the gaming machine system, a key assembly having a data processing assembly including processor means and data storage means controlled by said processor means to store data relating to the use of said key assembly by said user, said data storage means receiving and storing identification data representative of said user for distinguishing a user authorised to use said key assembly and/or the gaming machine system, and

for each gaming machine in the gaming machine system, an access control assembly associated with said gaming machine and adapted to receive said key assembly, access control assembly having user verification means for verification of the identity of a key assembly by comparison with identification data stored in said key assembly data storage means, and a processing assembly including processor means and data storage means controlled by said processor means to store data relating to the use of said gaming machine by said user, said data storage means also receiving and storing data representative of said gaming machine, and

interface means for each gaming machine whereby an access control assembly can communicate with a received key assembly;

whereby in use in circumstances where the gaming machine cannot communicate with said central computer, access by a user not authorised to operate a gaming machine in said gaming machine system can be prevented and access by a user authorised to operate a gaming machine can be allowed, and if a user is allowed access to operate a gaming machine in said circumstances data relating to the use of the key assembly by said user

during said circumstances is stored in both said data storage means, data stored in the access control assembly data storage means during said circumstances being transferable said to central computer by said communication after means cessation of said circumstances.

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The gaming machines may be operable solely by coins and tokens in known manner, but it is preferred that the key assembly data storage means and the access control assembly data storage means each stores credit data representative of the credit held by a designated user, the credit data stored in said access control assembly data storage means being incremented or decremented when a financial event associated with the key assembly is completed.

As used herein the expression "financial event" means any transaction which increases or decreases the credit held by a designated user of the operating system. Events which increment the credit data storage means include a cash deposit and a gaming machine win by a user, and events which decrement the credit data storage means include operation of a gaming machine by a user and operation of the user interface assembly to access a paging system to provide a chargeable service to the user.

If the key assembly is not received in the access control assembly upon completion of a financial event associated therewith, the credit data stored in key assembly data storage means is incremented or decremented when the key assembly is next received in an access control assembly.

In a preferred embodiment the access control assembly includes a user interface assembly operable by a user to input data to said access control assembly.

In a preferred embodiment the operating system may include a paging system for transferring messages and paging signals from the gaming machines. In such an arrangement it is preferred that the user interface

facility includes paging means whereby a user can access the paging system.

Gaming machines for use in the operating system of this invention may be custom-built for use therewith. However to enable older machines to be used in the operating system the gaming machines may include retrofit interface means for providing data transfer between an existing processor unit in an existing gaming machine and the access control assembly in the gaming machine. In the case of older machines which may not allow access to the processor unit the retrofit interface means may provide data transfer between photo-optic coin sensors, solenoid switches and motors in an existing machine and the access control assembly.

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#### BRIEF DESCRIPTION OF THE DRAWINGS

In order that this invention may be more easily understood and put into practical effect, reference will now be made to the accompanying drawings which illustrate a preferred embodiment of the invention, wherein:-

FIG 1 is a simplified schematic block diagram of a secure access control system including visual identification means;

FIG 2 is an illustration of a user interface 10 assembly for use in the system of FIG 1;

FIG 3 is a flow diagram illustrating a photo identification process in the control system of FIGS 1 and 2;

FIG 4 is a detailed schematic block diagram of a secure access control system in accordance with the invention;

FIGS 5, 6 and 7 are schematic block diagrams of a secure access control system for a gaming establishment;

FIG 8 illustrates a player interface assembly for association with a gaming machine;

FIG 9 is a perspective view of a key assembly and housing therefor;

FIG 10 illustrates a player interface assembly attached to a poker machine;

25 FIG 11 is a flow diagram illustrating a machine playing sequence for a gaming machine connected in the control systems of FIGS 5, 6 and 7;

FIG 12 is a flow diagram illustrating a machine pay out sequence for a gaming machine connected in the control systems of FIGS 5, 6 and 7;

FIG 13 is a flow diagram illustrating entry of machine credit to a gaming machine connected in the control systems of FIGS 5, 6 and 7; and

FIG 14 is a flow diagram illustrating operation of a central crediting system connected in the control systems of FIGS 5, 6 and 7.

#### DETAILED DESCRIPTION OF THE INVENTION

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A visual identification access control system in accordance with one embodiment of the present invention will now be described with reference to FIGS 1 to 3.

Visual identification access control system 11 comprises a visual sensor unit 12, an interface unit 13 arranged to interface with a key assembly memory storage device 14 and a processing means 15.

Control system 11 is connected to a secure system 16. The secure system is one which identification of a user before the user is allowed access to the system. Examples include automatic teller machines connected to central data base storing bank account information, and a control system where gaming devices are connected to a central controller storing account information. The visual identification system of the present invention is not limited to use with these examples.

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Each user of secure system 16 is provided with a key assembly memory storage device 14 which contains image information relating to the visual facial appearance of the user. The memory storage device 14 may be an "intelligent key" containing a digital processing device and memory. The memory stores the image data in digital form. The interface unit 13 includes an intelligent key reader which interfaces with memory storage device 14 to obtain the digital image data from the memory. The interface unit 13 provides the processor means 15 with the digital image information obtained from the memory device 14.

The visual sensor unit 12 includes a camera 17 containing a charge coupled device (CCD) sensor and a lens positioned to view the face of a user standing by the apparatus. The CCD obtains a visual readout of the facial appearance of the user and the sensor unit 12 converts this visual readout into digital form which is transmitted to the processor unit 15.

The processor unit 15 compares the digital image information obtained from the visual sensor unit 12 with

the digital information obtained from the interface unit If the data from units 12 and 13 corresponds, processing means determines that positive identification has been made. That is, the person with the key assembly memory device 14 is the same person being viewed through the lens. The processor 15 may then indicate to the secure system 16 that a positive identification has been made and the user will then be allowed access to the secure system, eq to withdraw cash from a bank account.

Receiving means in the form of slot 18 is provided in unit 13 for insertion of intelligent key 14.

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A keyboard 19 is also connected to the secure system 16. The keyboard may also be connected to the processor 15. The keyboard 19 allows a user to conduct transactions with the secure system 16. The number and type of keys provided on the keyboard 19 will depend on the functional requirements of the secure system 16.

FIG 2 shows a front view of the face 21 of user interface 13. (In this embodiment the keyboard 19 forms part of the interface unit 13.) A liquid crystal display 20 is provided for conveying information to the user. For example, the display may prompt the user to take necessary action to progress the identification process.

In this embodiment the user is also provided with a PIN number which is entered by keyboard 22 before access to the secure system 16 is enabled. The use of both a PIN number and the visual identification technique increases the security of the system.

In use, the display 20 can prompt the user to insert the key 14 into the slot 18. The user will then be asked to input the PIN number on the keyboard 22. If the PIN number is correct, the apparatus will proceed to the visual identification stage. A switch 23 is provided to cause the camera 17 to be activated to take a frame. The switch 23 may be activated by the user. A frame is taken by the CCD unit, converted to digital and transferred to the processor unit 15. The lighting conditions at the

site are then altered (darker or lighter) and a second frame is taken by the CCD unit. A light sensor 24 is provided to detect lighting conditions. This frame is also converted to digital and transferred to the processor unit 15. The change of light conditions ensures that the diameter of the pupil of the eye of the user will change. This is a test to ensure that the face is that of a real person and not a photograph. processor will determine whether the pupil diameter has changed and if there has been no change, access to the secure system 16 will be denied. If pupil identification has changed the visual identification process will proceed.

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The image stored in the key and obtained by interface unit 13 is transferred to the processor means 15. A comparison is then carried out between the image from the unit 12 and the image from the interface unit 13.

The flow chart of FIG 3 is illustrative of the above 20 process.

FIG 4 is a detailed schematic block diagram of a secure access control system in accordance with the invention. A key assembly, user carriable device or memory storage device 25 includes data processing assembly 26 having a central processor or digital processing device 27 and data storage means 28 for storing identification data 29 and image data 30. Interface 31 provides communication between key assembly 25 and an access control assembly 33.

Access control assembly 33 includes a data processing assembly 44, user interface assembly or interface unit 45, receiving means 38 for receiving key assembly 25, and identity verification means 39.

Data processing assembly 44 is controlled by a central processor 34 and includes data storage means 35. User interface assembly 45 includes input means in the form of keypad 36 and information display means 27 in the form of a liquid crystal display as previously described.

Receiving means or keyslot 38 includes an interface 32 providing communication with key assembly Identity verification means 39 in the form of processor means adapted to compare information is associated with a sensing means, sensor or visual sensing unit in the form of a camera 40 as previously described. Image display means 46 in the form of a video screen is provided at the access control assembly 33 to display a screen picture of the face of the legitimate holder of the key assembly 25. 10 Interface means 41 is adapted to provide communication between access control assembly 33 and other access control assemblies and a central computer assembly or control unit 42 via communication bus 43.

A gaming establishment control system which incorporates an operating system in accordance with an embodiment of the present invention will now be described with reference to FIGS 5 to 14.

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With reference to FIGS 5 and 6, the control system comprises central computer 42 for controlling operations of the system. A communication generally designated by reference numeral 43 connects the central computer 42 to peripheral units. A plurality of gaming machines 49 are connected to the control system. Poker machines incorporate counters 54 for counting the number of credits input to a machine and taken out of the machine during a predetermined playing period and for monitoring sundry other machine operations, switches 55 controlled by a keyboard for operation of the machine and coin in/out meters 56 which provide a number of pulses when coins are input or taken out of the machine. Conventional poker machines are coin operated and prizes may be paid out in coins at the machine. This embodiment of the present invention enables operation of poker machines without the need to insert coins. Further, no coin pay out is necessary when a prize is won.

A large gaming establishment may have many hundreds of poker machines. All these poker machines may be connected in the control system of the present embodiment of the invention. The control system includes an access control assembly 57 associated with each poker machine 49. Each access control assembly 57 is connected in a loop format in which each assembly for each poker machine is connected. The loop is connected to the central computer 42. Serial data lines are used for the loop communication system. Two serial data communication lines are employed, one for carrying data and the other being supervisory. Depending on how many machines 49 are to be connected in the control system, a plurality of loops may be employed.

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The control system also incorporates a number of other types of terminals, apart from the gaming device access control assemblies 57, which are also connected to the central computer by the communication system 43. These include remote cash register units 58, remote autoteller units 59, and credit/debit terminals 60.

The central computer 42 is also connected to a paging system 52 having CPU control unit 61 and paging transmitter 62. These provide a paging service. The computer is also connected to computer controlled signs 63, which may be for the purpose of advertising, providing linked jackpot information etc.

The system constitutes a complete control system for monitoring operation the  $\mathsf{of}$ gaming establishment. Transactions with each machine 49 can be monitored by the central computer 42. In addition, financial transactions at other points in the establishment, such as at the bar or restaurant, can also be monitored by the central The paging function enables services to be provided to users of the machine 49 without the users needing to leave their seat by the machine. means such as keys 53 as described with reference to FIGS 7 and 8 and associated with the access control assembly 57 are actuable to instigate a paging function to call a service operator to attend to the needs of the user, depending upon the key actuated on the user assembly 45.

Gaming machines for use in the operating system of

this invention may be custom-built for use therewith. As seen in FIGS 6 and 7, to enable older machines to be used in the operating system the gaming machines 49 have a retrofit interface board 50 which includes adaptor circuits specific to a given machine for providing data transfer between an existing processor unit and access control assembly 57. Some older machines do not allow access to the processor unit and interface board 50 provides data transfer between photo-optic coin sensors, solenoid switches and motors and access control assembly 57.

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FIG 8 illustrates a user interface unit 64 to be mounted on each machine 49 as shown in FIG 10. The unit 64 is operable by the user on insertion into the key slot 65 of an intelligent key 25 containing a micro processor and memory store. Key slot 65, which with key 25 is illustrated in perspective view in FIG 9, is installed in user interface unit 64 with an upwards inclination so that the drinks of users if accidentally spilled, will not collect in the slot. It will of course be realised that the interface unit may be built into the gaming machine and that in the case of video machines, the display means can be the video screen.

To obtain access to the machine 49, the user inserts intelligent key 25 into the key slot 65 and enters the PIN number by actuation of the appropriate keys on the keyboard 66. The intelligent key 25 contains in its memory credit information indicating the amount of credit available to the owner of the key for playing the machines 49. Whether the player has enough credit on the key will be checked by the unit 64 and if approved the player will be allowed to play machine 49. The player may play the machine with any amount of credit available on the key 25. As credit is entered to play the machine it is debited from the key 25. As described subsequently with reference to the "machine player system" and Figs 11 and 13, key 25 must be removed from keyslot 65 before play can be commenced. Winnings are credited to the memory store on the key when the key is reinserted in keyslot 65.

The control system will also "double check" credit on the key with account information for the user held on data base by the central computer 42. This check is preferably carried out before play commences. there is an inconsistency between the account on central data base and the credit shown on the key, the user will to report to an office of the allowed to play establishment and will not be machine.

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The user's account on the central computer 42 may also be updated in response to playing the gaming machine 49. If a user's account or key has no credit, credit may be obtained at a change box having debit/credit unit 60. If the user deposits money at the change box it will be credited to both key and account by the debit/credit unit 60. The player can then proceed to play a machine.

The central computer 42 may also obtain other transaction information from the machines 49. Any information required for audit purposes may be obtained by the central computer 42 in this manner. There is no need for an operator to physically attend a machine except in cases of malfunction. The central computer 42 continually polls the machines for data.

If a user requires a service function or a drink at the machine, the appropriate button 53 on the keyboard is pressed and a service operator will be paged by the paging system. The central computer 42 detects that a paging operation is required and causes the paging CPU 61 to cause a paging transmission via paging transmitter 62 to page a designated operator by a remote paging unit. The designated operator can then approach the user to carry out the required service. If a drink or other service is to be provided which will cost the user money, the user may pay for it by debiting credits from his key. This can be done at the user interface unit 64.

Any number of desired paging functions can be

carried out by the paging CPU 61. The paging system is responsive not only to users, and a facility is provided for automatically paging service operators in some circumstances.

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For example, if a key is accidentally left after a predetermined time the operators are paged with an appropriate message. credits are accidentally left on a machine the attendant will again be paged with an appropriate message after the elapse of a given time without machine activity. attendant can then clear the machine by inserting an operator key and entering a code. The computer 42 will be updated with the member's new credit. This will cause a discrepancy between the member's key and the credit in the central computer, and the next time the member plays a machine the display 37 will signal to the member to check at the change box. The key credit can then be updated by the cashier.

In order to obtain cash the user utilises a change box or reception 60. Money can be collected from the change box and the key credit will be debited.

Key reader units 58 are provided at the bar, restaurant and at other locations to enable a user to use a key to obtain other services. These units are also connected to the central computer 42 to update account information.

Auto-teller units 59 are also provided and may have a facility for visual identification in accordance with the first aspect of the present invention.

FIG 7 is a detailed schematic block diagram of an operating system for a number of gaming machines 49 utilising a secure access control system 57 in accordance with the invention. A key assembly, user carriable device or memory storage device 25 includes data processing assembly 26 having a central processor or digital processing device 27 and data storage means 28 for storing identification data 29, image data 30 and credit data 47. Interface 31 provides communication

between key assembly 25 and access control assembly 57.

Access control assembly 57 includes processing assembly 44, user interface assembly interface unit 45, receiving means 38 for receiving key assembly 25, and identity verification means 39. control assembly 57 can be connected to a gaming function a retrofit interface unit 50 as previously described.

Data processing assembly 44 is controlled by a 10 central processor 34 and includes data storage means 35 for storing credit data 47 and gaming machine data 48. A user interface assembly 45 includes input means in the form of keypad 36, information display means 37 in the form of a liquid crystal display and paging means or 15 buttons 53 as previously described. Receiving means or keyslot 38 includes an interface for 32 providing communication with key assembly 25. Identity verification means 39 in the form of processor means is adapted to compare information. Interface means 41 is 20 adapted to provide communication between access control assembly 33 and communication bus 43 for communication with other access control assemblies and a central computer assembly or control unit 42 and to a paging system 52.

It will be realised that a cashless system can be provided in accordance with the invention in which the intelligent key contains an electronic photo ID facility, key number, user function (member, attendant, operator), user details (name, address) and transaction details.

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The key holder has a PIN code which is not accessible to operators. Transactions are stored and reported by key number and not by member name. The key can be used for different clubs with the data of one club not being accessible by other clubs. The key is thus extremely secure and cannot be copied.

Key readers are provided on each machine, at each entrance with monitors for photo ID check, at each payout area optionally with an ID monitor, at each bar service

area, at reception area with camera for new membership, and at automatic tellers with reader/writer/camera.

FIGS 11 to 14 are self-explanatory flow charts showing machine playing sequence, machine pay out sequence, entry of credit into the machine and operation of the central crediting system. The following brief summaries outline operation of the system in a club or casino and should be read in conjunction with FIGS 11 to 14.

#### 10 Credit System:-

- Member pays for credits at change box.
- . Member key inserted in reader, enters PIN number.
- , Operator checks details and enters credit amount.
- Credit placed on key and key ejected.
- 15 . Transaction with member, operator, amount, date logged on CPU.

#### Machine Player System: -

- . Insert key in machine.
- Display asks for PIN number.
- 20 . Enter PIN number.
  - . Main CPU checks details and credit.
  - . Display asks for amount required to be entered in machine.
  - . Enter amount.
- 25 . Credit on key and CPU are updated.
  - . Key removed.
  - . Coin credit meter on machine incremented.
  - . When play finished insert key in reader and press "collect" on poker machine.
- 30 . Key and CPU updated with credits and machine coin credits cancelled.
  - . Remove key.
  - . If a key is accidentally left in a machine after a predetermined time the attendants are paged with a message indicating a key may be left in the machine.
- 35 message indicating a key may be left in the machine.

  If there are no credits left on the machine at the end of play there is no need to insert the key the

machine will automatically be released after

predetermined time.

- A member may reserve a machine, with credits on it, and without having his key actually in the machine, and get a drink etc - the machine will not accept any other key (except an attendants) when in this mode.
- If credits are accidentally left on a machine the attendant will again be paged with an appropriate message after a time without machine activity. 10 attendant can then clear the machine by inserting his key and entering a code. The CPU will be updated with the members new credit. This will give a discrepancy between the member's key credit and the credit in the CPU. The next time the member plays a machine he will be asked by the display to 15 check his credits at the change box. The key credit will then be updated by the cashier (a message with the reason for the update will be given at their terminal).

#### 20 Payout:-

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- Money collected from key credit at change box, automatic teller.
- . Key inserted in reader.
- . PIN number entered.
- 25 . Credit amount checked by CPU
  - Photo ID if required.
  - . OK given to operator.
  - . Record again kept of transaction

#### Machine Attendants:-

- 30 . Attendants have their own keys.
  - Log on/off duty can be performed.
  - Key inserted in machine before any service.

#### Reports:-

Reports can be generated by management by selecting

35 their own set of specifications from the database.

Examples include:-

Cash in

- from credits entered to keys

Cash out

- from key credits cashed in

Key Credits - credit on keys not claimed

- key credits used at bar Bar Cash

- cash taken at bar

Meter Readings - all functions

Player Activity - types of players, machines,

Restaurant Cash - cash credits used

- cash taken

Entertainment - key credits used

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- cash taken

10 It will be appreciated that a secure access control system in accordance with the present invention has many advantages.

The provision of a secure intelligent user key, onsite processor storage capacity and the ability to check identity on site without the necessity to revert to a central computer, enables stand-alone operation and means there is little restriction on the number of sites which can be run on a single extended network. Integration of an internal paging system enables automatic reporting on all important events within the system to users who are 20 potentially concerned with an event, and provides extremely efficient utilisation of human Furthermore, it offers immediate service to customers and users in casino-type installations.

The system allows for both supervisory control and 25 data transfer whereby multiple facilities can be provided with minimum congestion. Electronic signs such general information, jackpot information and advertising can be easily controlled and it is possible with the 30 system to provide inter-establishment jackpots as well as internal jackpots.

The secured access control system in accordance with this invention also provides a facility for central updating whilst monitoring all sites, and generates virtually real time information from all sites.

The paging facilities provide automatic ordering of site, jackpot information for management, machine reserve reporting and indicates to staff the need to service machines. These capabilities provide significant advantages in clubs, casinos and the like.

It will be realised that a central computer failure does not cause the system to fail and that individual operations can continue on-site because of the provision of on-site processor facilities and the high level security provided by the intelligent card. The central computer is updated when it comes back on-line.

Linked jackpot facilities can only be provided satisfactorily with on-line systems and the utilisation of linked jackpots is facilitated by the present invention because of the instant automatic paging facilities which are available if problems occur. Provision of such a system is desirable for the smooth operation of linked jackpots.

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It will also be realised that the system according to the present invention overcomes certain problems of the prior art and in particular provides for the automatic update of player records and so does not rely on a player having to hand in a key in order for the centralised computer to access information for collation and analysis. This is one disadvantage with the system outlined in Australian patent application 72657/91 because of the fact that it is usual for players at casinos to lose their credit and there is thus often little incentive for a player to return a key to a central location.

Moreover as has been described above in the outline of the "machine player system", the gaming system in accordance with the present invention ensures that cards are not inadvertently left in machines when credit has expired and the cards are spent. This results from the system not allowing a game to be played or gambling to commence before the key assembly is removed from the keyslot. This overcomes disadvantages of prior art arrangements in which keycards are commonly left in the machines. In these systems, although a discrete amount of credit may be downloaded prior to a period of play

commencing, the card remains in the keyslot for updating either with each event or at the conclusion of a period of play. On the other hand the key assembly of the present invention is most usually in the players hand or pocket and will be in this location, rather than in the machine slot, when credit on the card is exhausted.

Furthermore, by providing a system individual debits are incremented each time a machine is of the system this invention overcomes disadvantages of earlier "cashless" systems in which the 10 total amount of credit is downloaded into the machine when the player's card is inserted in the machine and read by the card reader. This is of particular significance if, as is usual, regulatory authorities 15 require an electro-mechanical counter to be maintained in machines even if operation is controlled by a CPU. downloading of a large number of credits, for example when a relatively large cash deposit is made when a card is inserted into a small value machine, means that such a 20 counter can fail due to excessive mechanical wear or the machine is either ineffective or substantially inoperable for the time taken for the machine to increment the total number of credits.

Furthermore, intelligent processors in known systems do not contain player credit information and it is necessary that the player's card be accessed in order to obtain such information. Security is thereby compromised because the card and the machine do not both contain updated credit records and the card itself can be subjected to electronic tampering.

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The invention thus provides a practical "cashless" casino operating system which is able to support a very large number of gaming machines without the need to provide a high powered and expensive central computer system.

It will of course be realised that whilst the above has been given by way of an illustrative example of this invention, all such and other modifications and variations hereto, as would be apparent to persons skilled in the art, are deemed to fall within the broad scope and ambit of this invention as is hereinafter claimed.

### THE CLAIMS DEFINING THE INVENTION ARE AS FOLLOWS:-

- 1. A gaming machine system including:
  - a plurality of gaming machines;
  - a central computer assembly;

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communication means for transferring data from said gaming machines to said central computer assembly, and

a secure access control system including:-

for each user of the gaming machine system, a key assembly having a data processing assembly including processor means and data storage means controlled by said processor means to store data relating to the use of said key assembly by said user, said data storage means receiving and storing identification data representative of said user for distinguishing a user authorised to use said key assembly and/or the gaming machine system, and

for each gaming machine in the gaming machine system, an access control assembly associated with said gaming machine and adapted to receive said key assembly, access control assembly having user verification means for verification of the identity of a key assembly by comparison with of identification data stored in said key assembly data storage means, and a processing assembly processor means and data storage means controlled by said processor means to store data relating to the use of said gaming machine by said user, said data storage means also receiving and storing data representative of said gaming machine, and

interface means for each gaming machine whereby an access control assembly can communicate with a received key assembly;

whereby in use in circumstances where the gaming machine cannot communicate with said central computer, access by a user not authorised to operate a gaming machine in said gaming machine system can be prevented and access by a user authorised to operate a gaming machine can be allowed, and if a user is allowed access

to operate a gaming machine in said circumstances data relating to the use of the key assembly by said user during said circumstances is stored in both said data storage means, data stored in the access control assembly storage means during said circumstances transferable to said central computer said communication means after cessation said circumstances.

- 2. A gaming machine system as claimed in claim 1, wherein said key assembly data storage means and the access control assembly data storage means each stores credit data representative of the credit held by a designated user, the credit data stored in said access control assembly data storage means being incremented or decremented when a financial event associated with the key assembly is completed.
- 3. A gaming machine system as claimed in claim 2,
  20 wherein each said access control assembly includes a user
  interface assembly operable by a user to input data to
  said access control assembly.

Dated this TWENTY-FOURTH day of JANUARY, 1995

25 COMS21 PTY LTD

by

THOMSON PIZZEY

# **ABSTRACT**

A secure access control system has a "smart" key assembly 25 with storage means 28 for storing identification data 5 29 and image data 30. An interface 31 provides communication between the key assembly 25 and an access control assembly 33 having a data processing assembly 44, a user interface assembly 45, a receiving slot 38 for the key assembly 25 and an identity verifier 39. 10 processing assembly is controlled by a central processor 34 and has data storage means 35. The user interface assembly has a keypad 36 and an LCD 37. The identity verifier compares a sensed identification with identification embedded in the key.

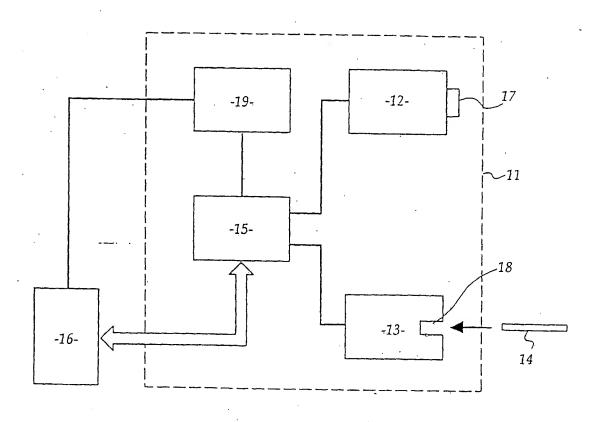


Figure 1.

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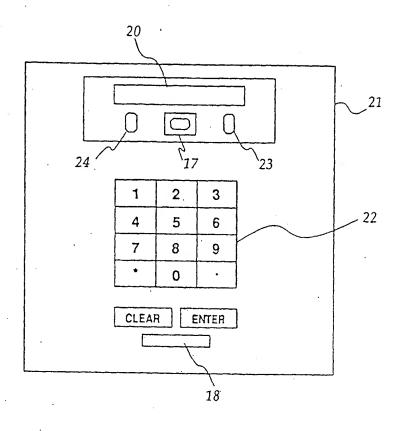
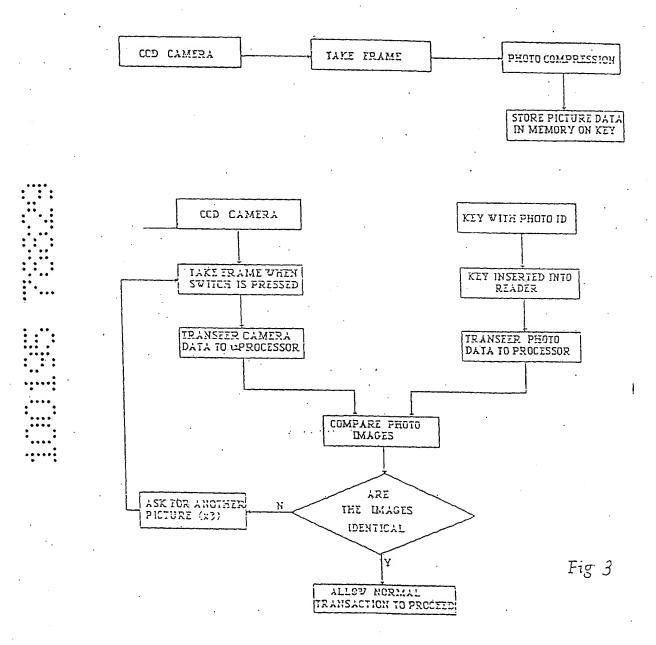


Figure 2.



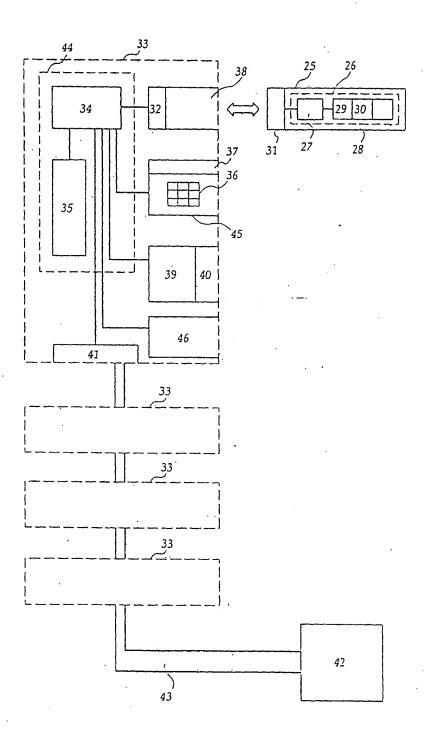


Figure 4.

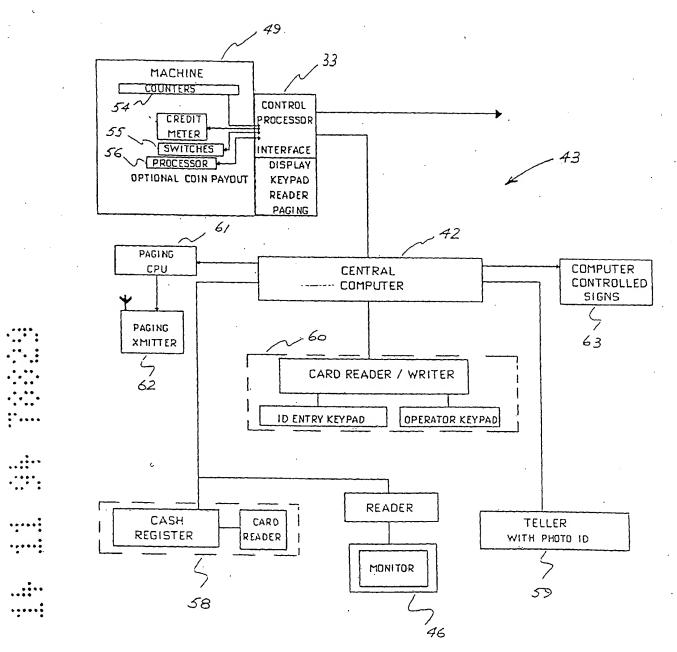


Fig. 5

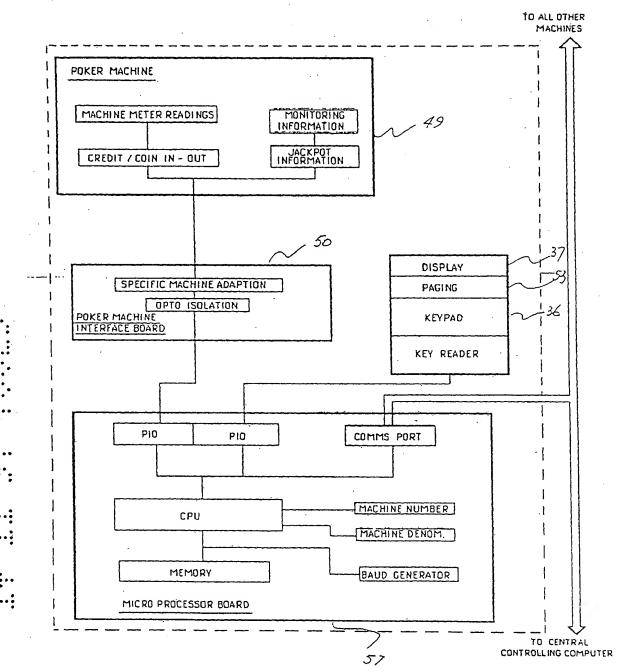
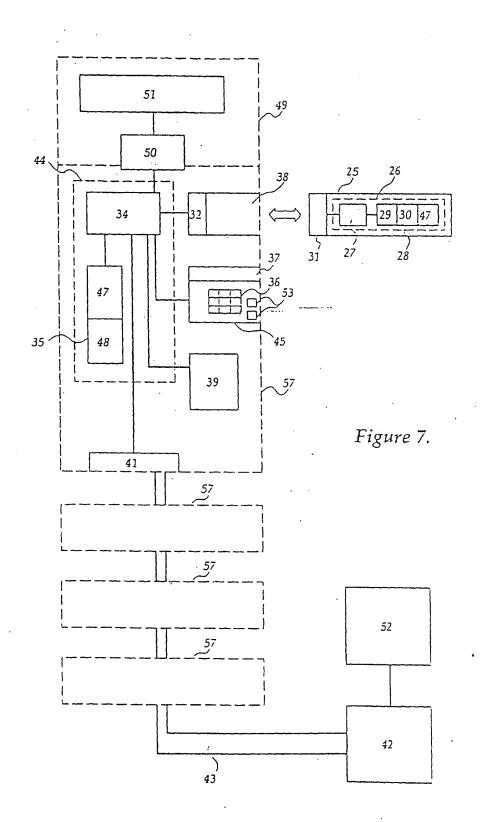


Fig 6



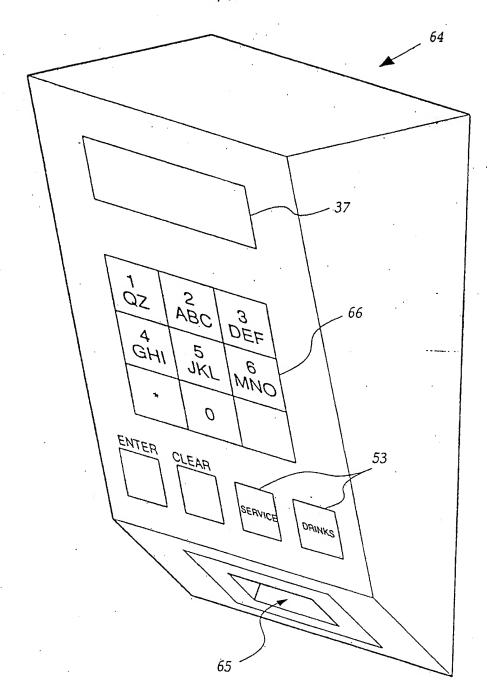
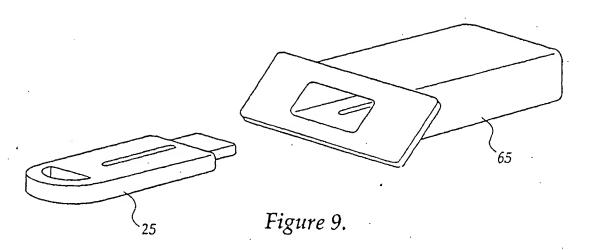
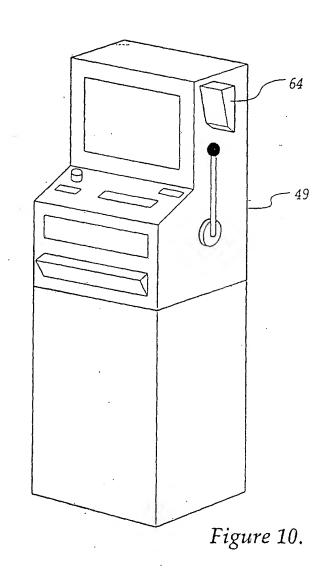


Figure 8.





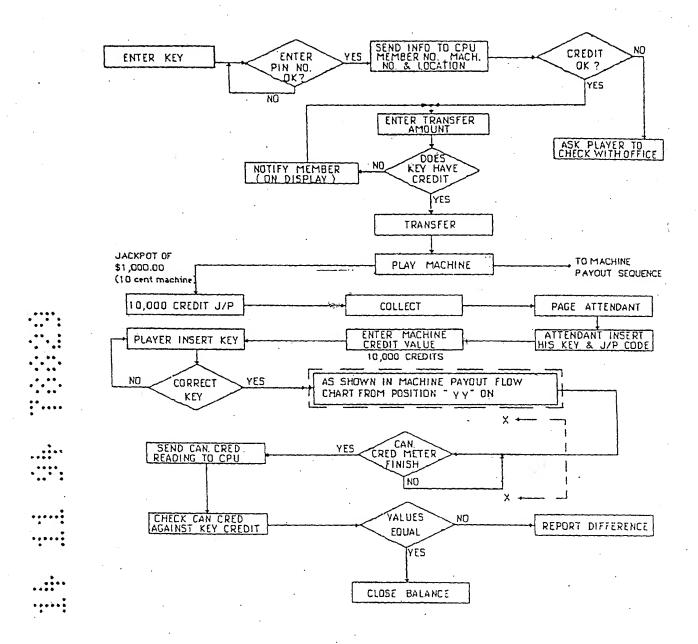


Fig 11

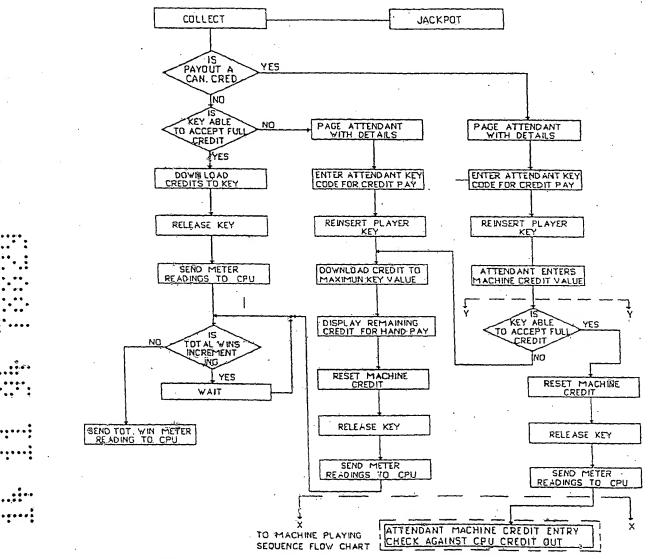


Fig 12

